

Investment Funds Application Form



For Corporations

Please use this form to invest in the Aviva Investors range of funds.

Please answer all questions in CAPITAL LETTERS and tick boxes where appropriate.

Once you have completed this application form, please return it together with your payment and/or completed Direct Debit Instruction to Aviva Investors UK Fund Services Limited, PO Box 10410, Chelmsford, CM99 2AY.

If you are investing a lump sum please make your cheque payable to Aviva Investors UK Fund Services Limited.

If you wish to pay with a bank/building society cheque or banker's draft, the society or bank must endorse the cheque with the full name of the person from whose account the funds are drawn. For example, the cheque should be made payable as follows: Aviva Investors UK Fund Services Limited i.r.o. Company Ltd (include company's full name).

A copy of this completed application form is available on request.

Please note there are other funds available from Aviva Investors. Please contact us for details about these funds.

Tax Regulations¹ require us to collect certain information about each investor's tax residency and tax classifications. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information about your account(s) with the relevant tax authorities. In relation to any person(s) referenced in sections 2 or 3 who are tax resident in a territory other than the UK (Note that for tax purposes UK means England, Northern Ireland, Scotland & Wales) then we will report the following information to His Majesty's Revenue & Customs (HMRC) and they may transfer that information to the government of that other territory in accordance with the relevant agreement:

- name, address, jurisdiction of tax residence, Tax Identification Number and date of birth.
- account number and that your account is with Aviva Investors.
- the balance or value of your account at the end of the year or at the date it was closed.
- the gross amount of interest, dividends, proceeds from sale or redemption or other amounts paid or credited to any of you or your account during the year.

In accordance with those agreements, the UK Government will also begin to receive information from Governments of other territories about non-UK accounts held by UK tax residents. The information provided by you in this form will be used by us to report to HMRC regarding your account(s) where so required by Tax Regulations.

1. The term "tax regulations" refers to regulations created to enable automatic exchange of information and include Foreign Account Tax Compliance Act (FATCA), and the OECD Common Reporting Standard (CRS) for Automatic Exchange of Financial Account Information².

1. Corporate details

Note: While all the named holders will have equal ownership of the investment, we will only issue correspondence to the first named organisation. Please give the name(s) and position(s) in the organisation of the authorised signatory(ies) who sign this application on behalf of the organisation.

Name of organisation	<input type="text"/>		
Company Number	<input type="text"/>	Country of Incorporation	<input type="text"/>
Nature and purpose of business	<input type="text"/>		
Address	<input type="text"/>		
	<input type="text"/>		
	<input type="text"/>		
	<input type="text"/>		
	<input type="text"/>		
Daytime telephone number	<input type="text"/>	Email address	<input type="text"/>

Please provide a copy of your current list of authorised signatories, complete with sample signatures.

Please tick which section is applicable and sign below:

☐ **Declaration on own Behalf**

I/We declare that I am/we are applying for the shares on my own behalf/our own behalf/ on behalf of a company

☐ **Declaration as “Intermediary”**

I/We declare that I am/we are applying for shares on behalf of persons who will be beneficially entitled to the shares

If you hold an existing account with us, please enter your account number

What is your intended use for this account?

<input type="checkbox"/> Investing for income	<input type="checkbox"/> Investing for growth	<input type="checkbox"/> Investing for income and growth
<input type="checkbox"/> Hedging liabilities	<input type="checkbox"/> Other (please specify below)	

2. Joint holders (if applicable)

Note: While all named holders will have equal ownership of the investment, we will only issue correspondence addressed to the organisation named above.

Title	<input type="text" value="Mr / Mrs / Miss / Ms"/>
Forename(s)	<input type="text"/>
Surname	<input type="text"/>
Position (in organisation)	<input type="text"/>
Permanent or current residential address	<input type="text"/> <input type="text" value="Postcode"/>
Date of birth	<input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="C"/> <input type="text" value="C"/> <input type="text" value="Y"/> <input type="text" value="Y"/>
Daytime telephone number	<input type="text"/>
Email address	<input type="text"/>
Country of tax residency	<input type="text"/>
National Insurance number/Tax reference number	<input type="text"/>

If you are resident for tax purposes in more than one country, please provide details of each such country and your associated Tax Identification Number(s) on a separate page. If you are a US citizen you must also include United States along with your US Tax Identification Number.

Title	<input type="text" value="Mr / Mrs / Miss / Ms"/>
Forename(s)	<input type="text"/>
Surname	<input type="text"/>
Position (in organisation)	<input type="text"/>
Permanent or current residential address	<input type="text"/> <input type="text" value="Postcode"/>
Date of birth	<input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="C"/> <input type="text" value="C"/> <input type="text" value="Y"/> <input type="text" value="Y"/>
Daytime telephone number	<input type="text"/>
Email address	<input type="text"/>
Country of tax residency	<input type="text"/>
National Insurance number/Tax reference number	<input type="text"/>

If you are resident for tax purposes in more than one country, please provide details of each such country and your associated Tax Identification Number(s) on a separate page. If you are a US citizen you must also include United States along with your US Tax Identification Number.

2. Joint holders (if applicable) (continued)

Title	<input type="text" value="Mr / Mrs / Miss / Ms"/>
Forename(s)	<input type="text"/>
Surname	<input type="text"/>
Position (in organisation)	<input type="text"/>
Permanent or current residential address	<input type="text"/>
	<input type="text" value="Postcode"/>
Date of birth	<input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="C"/> <input type="text" value="C"/> <input type="text" value="Y"/> <input type="text" value="Y"/>
Daytime telephone number	<input type="text"/>
Email address	<input type="text"/>
Country of tax residency	<input type="text"/>
National Insurance number/Tax reference number	<input type="text"/>

If you are resident for tax purposes in more than one country, please provide details of each such country and your associated Tax Identification Number(s) on a separate page. If you are a US citizen you must also include United States along with your US Tax Identification Number.

3. Designation details

You can indicate a designation for the investment, for example, accounts for minors under 18 years of age must be registered in the name of an adult and must be designated in favour of a minor designation (e.g. minor's initials) (maximum of 35 characters).

Designation	<input type="text"/>
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Indicating a designation will not affect the way the investment is registered, it will be registered solely in the name of the applicant(s) named in sections 1 and 2 above.

4. Trust name

If any applicant named in section 1 above is acting as trustee of a trust, please provide the details below.

Full name of trust	<input type="text"/>
Address	<input type="text"/>
	<input type="text"/>
	<input type="text" value="Postcode"/>
Nature and purpose of trust	<input type="text"/>
	<input type="text"/>
Country of establishment	<input type="text"/>

If you need to provide details in respect of more than one trust, please do so in the space provided below.

Please provide details of all settlors (even if deceased), any further trustees or others (including protectors) that have control over the management of the trust or arrangement, and any named individuals or classes that have a potential entitlement of 25% or more of the property of the trust assets (even where discretion exists) in section 5.

Please provide an extract from the trust deed to confirm the information in sections 3 & 4.

Indicating a trust or providing trust deeds will not affect the way the investment is registered. It will be registered solely in the name of the applicant(s) named in section 1 and 2 above.

Additional documentation may be required for anti-money laundering compliance purposes.

5. Controlling persons

For applicants who are a body corporate, partnership or trust (except for those regulated in the UK/EU or Listed on a recognised exchange) details of beneficial owners, directors and controllers directly or indirectly should be provided below.

Beneficial owners/directors/controllers details

Identify any natural persons who ultimately have a control ownership interest in the entity that is the beneficial owner. Beneficial owners are individuals who either own or control more than 25% of body corporates or partnerships or otherwise own or control the entity.

For applicants acting as Trustee(s) (or similar), please provide details of all Settlers (even if deceased), any further trustees or others (including Protectors) that have any control over the management of the trust or arrangement, and any named individuals or classes that have a potential entitlement to 25% or more of the property of the trust assets (even where discretion exists).

We may ask for additional information and documentation. Your account might not be opened (nor will you be able to receive distributions or redemption proceeds) until we have your original application and all other material we consider necessary.

In the event of a delay or failure to produce any information or documentation required to satisfy our due diligence requirements, we reserve the right to refuse to carry out the transaction requested, including accepting additional subscriptions or releasing the investment (including any distribution payments due to the Shareholders), until the requested information has been provided.

You must notify us within 7 days of any change to any representations made on this application.

Title	<input type="text" value="Mr / Mrs / Miss / Ms"/>		
Forename(s)	<input type="text"/>		
Surname	<input type="text"/>		
Address	<input type="text"/>		
	<input type="text"/>		
	<input type="text" value="Postcode"/>		
Date of birth	<input type="text" value="D"/>	<input type="text" value="D"/>	<input type="text" value="M"/>
	<input type="text" value="M"/>	<input type="text" value="C"/>	<input type="text" value="C"/>
	<input type="text" value="Y"/>	<input type="text" value="Y"/>	
Country of tax residency	<input type="text"/>		
National Insurance number/Tax reference number	<input type="text"/>		
Your relationship to the business	Owner <input type="checkbox"/>	Director/Partner/Trustee/Member <input type="checkbox"/>	More than 25% Shareholder <input type="checkbox"/>
	Other (please specify*) <input type="text"/>		

If you are resident for tax purposes in more than one country, please provide details of each such country and your associated Tax Identification Number(s) on a separate page. If you are a US citizen you must also include United States along with your US Tax Identification Number.

*e.g.trustee, settlor, beneficiary, etc

5. Controlling persons (continued)

Title	<input type="text" value="Mr / Mrs / Miss / Ms"/>		
Forename(s)	<input type="text"/>		
Surname	<input type="text"/>		
Address	<input type="text"/>		
	<input type="text" value="Postcode"/>		
Date of birth	<input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="C"/> <input type="text" value="C"/> <input type="text" value="Y"/> <input type="text" value="Y"/>		
Country of tax residency	<input type="text"/>		
National Insurance number/Tax reference number	<input type="text"/>		
Your relationship to the business	Owner <input type="checkbox"/>	Director/Partner/Trustee/Member <input type="checkbox"/>	More than 25% Shareholder <input type="checkbox"/>
	Other (please specify*) <input type="text"/>		

If you are resident for tax purposes in more than one country, please provide details of each such country and your associated Tax Identification Number(s) on a separate page. If you are a US citizen you must also include United States along with your US Tax Identification Number.

*e.g.trustee, settlor, beneficiary, etc

For applicants acting as trustee(s) (or similar), please provide details of those who exercise control over the trust who are not beneficial owners.

Non beneficial owners details

Title	<input type="text" value="Mr / Mrs / Miss / Ms"/>		
Forename(s)	<input type="text"/>		
Surname	<input type="text"/>		
Address	<input type="text"/>		
	<input type="text" value="Postcode"/>		
Date of birth	<input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="C"/> <input type="text" value="C"/> <input type="text" value="Y"/> <input type="text" value="Y"/>		
Country of tax residency	<input type="text"/>		
National Insurance number/Tax reference number	<input type="text"/>		
Your relationship to the business	Owner <input type="checkbox"/>	Director/Partner/Trustee/Member <input type="checkbox"/>	More than 25% Shareholder <input type="checkbox"/>
	Other (please specify*) <input type="text"/>		

If you are resident for tax purposes in more than one country, please provide details of each such country and your associated Tax Identification Number(s) on a separate page. If you are a US citizen you must also include United States along with your US Tax Identification Number.

*e.g.trustee, settlor, beneficiary, etc

5. Controlling persons (continued)

Title	<div>Mr / Mrs / Miss / Ms</div>									
Forename(s)	<div></div>									
Surname	<div></div>									
Address	<div></div>									
	<div>Postcode</div>									
Date of birth	<div>D</div>	<div>D</div>	<div>M</div>	<div>M</div>	<div>C</div>	<div>C</div>	<div>Y</div>	<div>Y</div>		
Country of tax residency	<div></div>									
National Insurance number/Tax reference number	<div></div>									
Your relationship to the business	Owner	<div></div>	Director/Partner/Trustee/Member	<div></div>	More than 25% Shareholder	<div></div>				
	Other (please specify*)	<div></div>								

If you are resident for tax purposes in more than one country, please provide details of each such country and your associated Tax Identification Number(s) on a separate page. If you are a US citizen you must also include United States along with your US Tax Identification Number.

*e.g.trustee, settlor, beneficiary, etc

To add further beneficial owners or controllers, please either copy this page or provide their details on a separate page.

Please note indicating a beneficial owner or designation will not affect the way the investment is registered, it will be registered solely in the name of the applicant(s) named in section 1 and 2 above.

6. Expected Trading Activity

Expected frequency of trading. Required information.

<input type="checkbox"/> Single transaction	<input type="checkbox"/> Monthly	<input type="checkbox"/> Annually
<input type="checkbox"/> Daily	<input type="checkbox"/> Quarterly	<input type="checkbox"/> Ad hoc
<input type="checkbox"/> Weekly	<input type="checkbox"/> Semi-annually	

Expected investment amount. Required information.
For investments in other currency, indicate equivalent in GBP.

Financial institutions acting on behalf of a third party:

<input type="checkbox"/> Less than GBP 1 million annually	<input type="checkbox"/> Less than GBP 1 million per transaction	<input type="checkbox"/> Not yet known
<input type="checkbox"/> More than GBP 1 million annually	<input type="checkbox"/> More than GBP 1 million per transaction	

Banks/financial institutions, corporations, public sector organisations, investment funds, pension vehicles, foundations/charities/NGOs, or trusts/legal arrangements investing on their own behalf:

<input type="checkbox"/> Less than GBP 1 million per transaction	<input type="checkbox"/> GBP 10 - 50 million per transaction
<input type="checkbox"/> GBP 1 - 10 million per transaction	<input type="checkbox"/> More than GBP 50 million per transaction

Other types of investor investing on their own behalf:

<input type="checkbox"/> Less than GBP 1 million per transaction	<input type="checkbox"/> GBP 1 - 10 million per transaction	<input type="checkbox"/> More than GBP 10 million per transaction
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7. Investment details

Investment funds within the Aviva Investors ICVCs.

Please indicate the amounts you wish to invest in each fund.

We will purchase Class 1 shares or units, except for the Global Equity Endurance Fund where we will purchase shares in Class 6, unless you indicate otherwise.

	Single investments (min £1,000)	Tick if income is to be paid out	Amount of regular investment per month* (min £50)
Income Funds			
Corporate Bond	£ <input type="text"/>	<input type="checkbox"/>	£ <input type="text"/>
Higher Income Plus	£ <input type="text"/>	<input type="checkbox"/>	£ <input type="text"/>
Managed High Income	£ <input type="text"/>	<input type="checkbox"/>	£ <input type="text"/>
Monthly Income Plus	£ <input type="text"/>	<input type="checkbox"/>	£ <input type="text"/>
Growth Funds			
Climate Transition Global Equity Fund	£ <input type="text"/>	<input type="checkbox"/>	£ <input type="text"/>
Continental European Equity	£ <input type="text"/>	N/A	£ <input type="text"/>
Global Equity Endurance	£ <input type="text"/>	N/A	£ <input type="text"/>
International Index Tracking	£ <input type="text"/>	N/A	£ <input type="text"/>
UK Listed Small and Mid-Cap Fund	£ <input type="text"/>	N/A	£ <input type="text"/>
UK Smaller Companies	£ <input type="text"/>	N/A	£ <input type="text"/>
Income & Growth Funds			
Distribution	£ <input type="text"/>	<input type="checkbox"/>	£ <input type="text"/>
Global Equity Income	£ <input type="text"/>	<input type="checkbox"/>	£ <input type="text"/>
Sustainable Stewardship Fixed Interest Feeder	£ <input type="text"/>	<input type="checkbox"/>	£ <input type="text"/>
Sustainable Stewardship International Equity Feeder	£ <input type="text"/>	<input type="checkbox"/>	£ <input type="text"/>
Sustainable Stewardship UK Equity Feeder	£ <input type="text"/>	<input type="checkbox"/>	£ <input type="text"/>
Sustainable Stewardship UK Equity Income Feeder	£ <input type="text"/>	<input type="checkbox"/>	£ <input type="text"/>
Strategic Bond	£ <input type="text"/>	<input type="checkbox"/>	£ <input type="text"/>
UK Listed Equity Income	£ <input type="text"/>	<input type="checkbox"/>	£ <input type="text"/>
UK Index Tracking	£ <input type="text"/>	<input type="checkbox"/>	£ <input type="text"/>
UK Listed Equity Unconstrained	£ <input type="text"/>	<input type="checkbox"/>	£ <input type="text"/>
US Equity Income Fund II	£ <input type="text"/>	<input type="checkbox"/>	£ <input type="text"/>

7. Investment details (continued)

	Single investments (min £1,000)	Tick if income is to be paid out	Amount of regular investment per month* (min £50)
Multi-asset Funds			
Multi-asset Plus Fund I	£	N/A	£
Multi-asset Plus Fund II	£	N/A	£
Multi-asset Plus Fund III	£	N/A	£
Multi-asset Plus Fund IV	£	N/A	£
Multi-asset Plus Fund V	£	N/A	£
Multi-asset Core Fund I**	£	N/A	£
Multi-asset Core Fund II**	£	N/A	£
Multi-asset Core Fund III**	£	N/A	£
Multi-asset Core Fund IV**	£	N/A	£
Multi-asset Core Fund V**	£	N/A	£
Multi-asset Sustainable Stewardship Fund I	£	N/A	£
Multi-asset Sustainable Stewardship Fund II	£	N/A	£
Multi-asset Sustainable Stewardship Fund III	£	N/A	£
Multi-asset Sustainable Stewardship Fund IV	£	N/A	£
Multi-Manager Funds			
Multi-Manager 20-60% Shares	£	<input type="checkbox"/>	£
Multi-Manager 40-85% Shares	£	<input type="checkbox"/>	£
Multi-Manager Flexible	£	N/A	£
Multi-Strategy Fund			
Multi-Strategy Target Return	£	N/A	£

* Regular investments must be made on a monthly basis by direct debit. The completed application and direct debit instruction must be received 14 working days prior to the collection date which will be on or around 6th day of each month.

** The Aviva Investors Multi-asset Core Fund I, Aviva Investors Multi-asset Core Fund II, Aviva Investors Multi-asset Core Fund III, Aviva Investors Multi-asset Core Fund IV, and Aviva Investors Multi-asset Core Fund V all have a minimum single investment of £5,000.

Note: Certain funds enable you to receive income should you wish to do so. These funds offer income shares or units. If you wish to have your income reinvested, the income will be used to buy further shares or units in the relevant fund. For the other funds, accumulation shares or units are issued which results in income being rolled up into the capital value of your holding. Unless you have indicated otherwise, all income will be automatically reinvested. For all investments where no bank or building society details are provided, income is automatically reinvested or accumulated as appropriate. It is not possible to take income while making regular investments.

For investments of £25,000 or more, we reserve the right to deal only following the receipt of cleared funds.

8. Bank/building society details

Please provide bank/building society details below to be used for future withdrawals (including regular capital withdrawals for the Multi-asset Funds where applicable).

Full name and address of UK bank/building society	<input type="text"/>												
	<input type="text"/>												
	<input type="text" value="Postcode"/>												
Name of account holder	<input type="text"/>												
Account number	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	Sort code	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Building Society Roll no. (if applicable)	<input type="text"/>												

If you would like us to pay your income distribution payments to the same bank account, please tick this box. ☐

If you wish to use different account details for your income payments, please tick this box and provide a covering letter with these details. ☐

If you wish for income payments to be reinvested, please tick this box. ☐

Please note that income is only paid from those funds indicated by a tick box in section 7. Where selected, income will be paid by direct credit to your UK bank or building society account and will be paid from outset. For all investments where no bank or building society details are provided, or if no option is selected above, income will be automatically reinvested or accumulated as appropriate. It is not possible to take income while making regular investments. If you wish to take income at a later date, please contact us at the time.

9. Regular capital withdrawals (Multi-asset Plus Funds)

This facility is only available for customers who have an investment in the Multi-asset Plus Funds of £10,000 (net of initial charges) or above when a regular capital withdrawal is made. This facility is not available to customers who are paying regular investments. The regular capital withdrawal will be applied across the Multi-asset Plus Funds indicated in section 7. For more information on the terms of capital withdrawals, please read the Supplementary Information Document.

I wish for my regular capital withdrawal to be taken (please tick one box) and wish to receive my first regular capital withdrawal payment on the month specified below:

Monthly	<input type="checkbox"/>	Quarterly	<input type="checkbox"/>	Half-Yearly	<input type="checkbox"/>	Once a year	<input type="checkbox"/>
Month	<input type="text"/>			Year	<input type="text"/>		

Shares must be held for one year before proceeds are payable, so this date must be at least one year from the date of the first investment into the Fund. If left blank your first withdrawal will take place at the next available payment date.

I wish to withdraw the following amount, which will be paid in installments as indicated above. Please indicate a percentage (of investment value) or £ amount and complete section 8 to indicate where these payments will be made.

<input type="text"/>	%	or	£	<input type="text"/>
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10. Privacy Notice

Personal Information

We collect and use personal information about you so that we can provide you with your investment. This notice explains the most important aspects of how we use your information but you can get more information about the terms we use and view our full privacy policy at www.avivainvestors.com or request a copy by writing to us at the address stated in the “Contacting us” section below.

The data controller(s) responsible for this personal information is Aviva Investors UK Fund Services Limited.

Personal information we collect and how we use it

We will use your personal information:

- To provide you with your investment: we need this to set up and administer your investment,
- To support legitimate interests that we have as a business: we need this to detect and prevent fraud and to help us better understand our customers and improve our customer engagement (this includes marketing),
- To meet any applicable legal or regulatory obligations: we need this to meet compliance requirements with our regulators (e.g. Financial Conduct Authority), to comply with law enforcement and to manage legal claims; and
- To carry out other activities that are in the public interest: for example we may need to use personal information to carry out anti-money laundering checks.

We may also use personal information about other people. This may include individuals who are authorised to act on your behalf, for example, an attorney or (in the case of a corporate investor) individuals who work for or otherwise represent you. **If you are providing information about another person we expect you to ensure that they know you are doing so and are content with their information being provided to us. You might find it helpful to show them this privacy notice and if they have any concerns please contact us in one of the ways described below.**

The personal information we collect and use will include name, address, date of birth and contact and investment details.

Of course, you don't have to provide us with any personal information, but if you don't provide the information we may not be able to proceed with setting up your investment.

Some of the information we collect as part of this application will be provided to us by third parties and this can include information already held about you within the Aviva Group, information we obtain from publicly available records, our trusted third parties and from industry databases, including fraud prevention agencies and databases.

We do not currently make any decisions based on automatic decision making using your personal information to decide whether we can provide an investment. If in the future we need to make decisions based on automatic decision making, we will inform you about this.

10. Privacy Notice (continued)

How we share your personal information with others

We may share your personal information:

- With the Aviva Group, our agents and third parties who provide services to us to help us administer our products and services;
- With regulatory bodies and law enforcement bodies, including the police, e.g. if we are required to do so to comply with a relevant legal or regulatory obligation;
- With other organisations including public bodies and the police (either directly or using shared databases) for fraud prevention and detection purposes;

Some of the organisations we share information with directly or indirectly may be located outside of the European Economic Area (“EEA”). We’ll always take steps to ensure that any transfer of information outside of Europe is carefully managed to protect your privacy rights. For more information on this please see our privacy policy or contact us.

How long we keep your personal information for

We maintain a retention policy to ensure we only keep personal information for as long as we reasonably need it for the purposes explained in this notice. We need to keep information for the period necessary to administer your investment and deal with any queries on your investment. We may also need to keep information after our relationship with you has ended, for example to ensure we have an accurate record in the event of any complaints or challenges, carry out relevant fraud checks, or where we are required to do so for legal, regulatory or tax purposes.

Your rights

You have various rights in relation to your personal information, including the right to request access to your personal information, correct any mistakes on our records, erase or restrict records where they are no longer required, object to use of personal information where this use is based on our legitimate business interests. For more details in relation to your rights, including how to exercise them, please see our full privacy policy or contact us.

Contacting us

If you have any questions about how we use personal information, or if you want to exercise your rights stated above, please contact our Data Protection Officer by writing to them at The Data Privacy Office, Aviva Investors, 80 Fenchurch Street, London EC3M 4AE or email dpoffice@avivainvestors.com

If you have a complaint or concern about how we use your personal information, please contact us in the first instance and we will attempt to resolve the issue as soon as possible. You also have the right to lodge a complaint with the Information Commissioner’s Office at any time.

Marketing – How we keep you informed

The Aviva Group and its agents may use personal information we hold about you across the Aviva Group to help us identify and tailor products and services that may be of interest to you and would like to contact you from time to time to provide you with updates and offers for Aviva’s products and services tailored to you by direct marketing by post, phone, email or text. We may continue to do this after your investment has ended.

To tell us if you would prefer not to hear about Aviva products and services please contact us at Aviva, Freepost, Mailing Exclusion Team, Unit 5, Wanlip Road Ind Est, Syston, Leicester, LE7 1PD.

11. Entity Self-Certification

Please complete all sections below as directed. Please see the Explanatory Notes section overleaf for key definitions and accompanying footnotes.

Section 1: Tax residency

If your organisation has more than one country of tax residency, we will require the detail below from you in respect of each country. Please complete one self-certification form for each country. Please either copy this page or provide their details on a separate page.

- 1.1 Please state the country in which your organisation is resident for tax purposes:
- 1.2 Please provide us with your organisation's Tax Reference Number:

Section 2A: Organisation's classification under FATCA²

Please tick only one box with reference to the tax residency stated in box 1.1

- 2.1 UK Financial Institution⁵ or a Partner Jurisdiction Financial Institution⁶ ☐
- 2.2 Participating Foreign Financial Institution (in a non-IGA jurisdiction⁷) ☐
- 2.3 Non-Participating Foreign Financial Institution⁸ (in a non-IGA jurisdiction⁷) ☐
- 2.4 Financial Institution resident in the USA or in a US Territory⁹ ☐
- 2.5 Exempt Beneficial Owner¹⁰ ☐
- 2.6 Deemed Compliant Foreign Financial Institution¹¹ (besides those listed above)⁵ ☐

If your organisation is not a Financial Institution⁵, please specify the entity's FATCA status below:

- 2.7 Active Non-Financial Foreign Entity¹² ☐
- 2.8 Passive Non-Financial Foreign Entity¹³ ☐

(If you tick this box, please include individual self-certification forms for each of your Controlling Persons⁴)

Please complete the Individual Self-Certification Form provided for this purpose on page 27.

Section 2B: Complete this only if your organisation is US Tax Resident (box 1.1)

Tick this box if your organisation is any of the following and therefore not a Specified US Person¹⁶ ☐

- A regularly traded corporation on a recognised stock exchange
- Any corporation that is a member of the same expanded affiliated group as a regularly traded corporation on a recognised stock exchange
- A government entity
- Any bank as defined in section 581 of the U.S. Internal Revenue Code
- A retirement plan under section 7701(a)(37), or exempt organization under section 501(a) of the U.S. Internal Revenue Code
- OR any other exclusion listed in Explanatory Note 16

11. Entity Self-Certification

Section 3: Declaration Organisation's classification under the Common Reporting Standard (CRS)²

Please tick only one box with reference to the tax residency stated in box 1.1

- 3.1 Financial Institution¹⁷
(this includes Non-Reporting Financial Institutions¹⁸ such as a pension scheme, government entity, international organisation and other entities listed in Explanatory Note 18). ☐
- 3.2 A professionally managed Investment Entity²⁰ outside of a CRS Participating Jurisdiction²⁴
(If this box is ticked, please include individual self-certification forms for each of your Controlling Persons⁴). ☐
- 3.3 Active Non-Financial Entity²⁰ which is regularly traded on an established securities market or affiliated thereto, a Governmental Entity or an International Organisation. ☐
- 3.4 Active Non-Financial Entity²⁰
(other than those listed in 3.3 above). ☐
- 3.5 Passive Non-Financial Entity²¹
(If you tick this box, please include individual self-certification forms for each of your Controlling Persons⁴). ☐

Please complete the Individual Self-Certification Form provided for this purpose on page 27.

Section 4: Declaration

I declare that the information provided on this form is to the best of my knowledge and belief, accurate and complete.

I agree to notify Aviva Investors UK Funds Services Limited immediately in the event the information in the self-certification changes.

Organisation address	<input type="text"/>		
	<input type="text"/>		
Country of Incorporation or organisation	<input type="text"/>		
First authorised signature Signed by (please print name)	<input type="text"/>		
Position (in organisation)	<input type="text"/>		
Signature	<input type="text"/>	Date	<input type="text"/> / <input type="text"/> / <input type="text"/>
Second authorised signature Signed by (please print name)	<input type="text"/>		
Position (in organisation)	<input type="text"/>		
Signature	<input type="text"/>	Date	<input type="text"/> / <input type="text"/> / <input type="text"/>
On behalf of (organisation name)	<input type="text"/>		

12. Prevention of money laundering

In accordance with EU and UK legislation relating to the prevention of money laundering we are obliged to verify the identity and address of all parties to this contract, e.g. directors, beneficial owners. This includes, but is not limited to, establishing the identity of any controllers that are not named parties as well as individuals who have a specified beneficial interest in the contract.

In addition to the details supplied on this form, we may ask for additional information and documentation. Your account might not be opened (nor will you be able to receive distributions or redemption proceeds) until we have your original application and all other material we consider necessary to complete our due diligence.

If the product you are applying for allows payment by cheque and you wish to pay with a bank/building society cheque or banker's draft, the society or bank must endorse the cheque with the full name of the person from whose account the funds are drawn.

13. Delivery versus payment (DVP) exemption

We may make use of the Delivery versus Payment (DVP) exemption for Collective Investment Schemes as defined in the Financial Conduct Authority's Client Assets Sourcebook. This means your uninvested money may not be protected if Aviva Investors UK Fund Services Limited becomes insolvent during the period covered by the exemption.

The DVP exemption will be used in the following circumstances:

- For investments and withdrawals when you pay money to us, or we pay proceeds to you, by electronic bank transfer or via a commercial settlement system.

When the DVP exemption is used your money will not be protected as client money during the following periods:

- For investments and withdrawals, made by electronic bank transfer from the day we receive your money (for investments), or the day we are due to pay the proceeds to you (for withdrawals), up to the close of the next business day.
- For investments and withdrawals, made via a commercial settlement system, from the day we receive your money (for investments), or the day we are due to pay the proceeds to you (for withdrawals), up to the close of business three business days later.

14. Declaration

The Key Investor Information Document(s) and Supplementary Information Document for the Aviva Investors Funds are important and you should take time to read them.

- I am/we are 18 years of age or over.
- I/we have read the latest relevant Key Investor Information Document(s) and Supplementary Information Document and are aware that I/we should retain a copy of these for my/our future reference.
- I/we confirm that no financial advice has been received in respect of this investment other than from the financial adviser, if any, named on this application below unless otherwise indicated.
- I/we understand that you may undertake a search with a third party company who provide identity verification services for the purposes of verifying my/our identity and the details I/we have submitted as part of this application. To do so the third party company may check the details I/we supply against any particulars on any database (public or otherwise) to which they have access. They may also use my/our details in future to assist other companies for verification purposes. A record of the search will be retained.
- I/we agree to notify Aviva Investors UK Fund Services Limited immediately in the event my/our tax residency information declared in section 2 above, or any of the information in the self certification section, changes.
- I/we declare that the information given in this Application Form is correct to the best of my/our knowledge and belief.
- All beneficial owners and other associated parties are known to me/us and I/we undertake to make those named above aware that they may be subjected to the same data protection and anti-money laundering verification provisions as outlined in sections 10 and 12 above and in the Supplementary Information Document.
- I/we consent to Aviva Investors UK Fund Services Limited's use of the Delivery versus Payment (DVP) exemption referred to above and as described in the Prospectus; and understand that in those circumstances money will not be protected from the insolvency of Aviva Investors UK Fund Services Limited during the periods covered by the exemption.

Signature of applicants	<input type="text"/>	Date	<input data-bbox="1002 1319 1461 1370" type="text" value=" / "/>
Signature of applicants	<input type="text"/>	Date	<input data-bbox="1002 1400 1461 1451" type="text" value=" / "/>
Signature of applicants	<input type="text"/>	Date	<input data-bbox="1002 1480 1461 1532" type="text" value=" / "/>
Signature of applicants	<input type="text"/>	Date	<input data-bbox="1002 1561 1461 1612" type="text" value=" / "/>

15. For Financial Advisers’ use only (continued)

I/We have seen the Aviva Investors Terms of Business for Firms as in force from time to time, the latest version of which can be found at www.avivainvestors.com/tob, and agree that this application constitutes “Business” as defined in and is therefore subject to those terms.

Signature of adviser	<input type="text"/>	Date	<input type="text"/> / <input type="text"/> / <input type="text"/>
Full name and address of agent	<input type="text"/>		
	<input type="text"/>		
	<input type="text"/> Postcode		
Firm reference number	<input type="text"/>		
Agent number	<input type="text"/>		
Please tick this box if no advice has been given <input type="checkbox"/>			

16. Explanatory notes

The following explanatory notes are based on Tax Regulations as implemented in the UK. If you have any questions about your organisation's classification, please contact your tax adviser.

Definitions common to FATCA and CRS

1 Tax Regulations

The term "tax regulations" refers to regulations created to enable automatic exchange of information and include Foreign Account Tax Compliance Act (FATCA), and the OECD Common Reporting Standard (CRS) for Automatic Exchange of Financial Account Information².

2 FATCA and CRS

FATCA

FATCA regulations in sections 1471 to 1474 of the US Internal Revenue Code and the Treasury regulations and official guidance issued thereunder, as amended from time to time. FATCA regulations have been adopted in the UK by The International Tax Compliance Regulations 2015.

CRS

The OECD Common Reporting Standard (CRS) which has been adopted in the UK by The International Tax Compliance Regulations 2015.

3 Non-Profit Organisation

An entity that meets ALL of the following criteria:

- a) it is established and operated in its jurisdiction of residence exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in its jurisdiction of residence and it is a professional organisation, business league, chamber of commerce, labour organisation, agricultural or horticultural organisation, civic league or an organisation operated exclusively for the promotion of social welfare;
- (b) it is exempt from income tax in its country of residence;
- (c) it has no shareholders or members who have a proprietary or beneficial interest in its income or assets;
- (d) the applicable laws of the entity's country of residence or the entity's formation documents do not permit any income or assets of the entity to be distributed to, or applied for the benefit of, a private person or non-charitable entity other than pursuant to the conduct of the entity's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the entity has purchased; and
- (e) the applicable laws of the entity's country of residence or the entity's formation documents require that, upon the entity's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organisation, or escheat to the government of the entity's country of residence or any political subdivision thereof.

16. Explanatory notes (continued)

4 Controlling Persons

The term "Controlling Persons" means the natural persons who exercise control over an Entity. In the case of a trust, such term means the settlor, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust, and in the case of a legal arrangement other than a trust, such term means persons in equivalent or similar positions. The term "Controlling Persons" must be interpreted in a manner consistent with the Financial Action Task Force Recommendations.

"Control" over an Entity is generally exercised by the natural person(s) who ultimately has a controlling ownership interest in the Entity. A "control ownership interest" depends on the ownership structure of the legal person and is usually identified on the basis of a threshold applying a risk-based approach (e.g. any person(s) owning more than a certain percentage of the legal person, such as 25%). Where no natural person(s) exercises control through ownership interests, the Controlling Person(s) of the Entity will be the natural person(s) who exercises control of the Entity through other means. Where no natural person(s) is identified as exercising control of the Entity, the Controlling Person(s) of the Entity will be the natural person(s) who holds the position of senior managing official.

Classifications under FATCA

5 Financial Institution

The term "Financial Institution" means a Custodial Institution, a Depository Institution, an Investment Entity, or a Specified Insurance Company as defined for the purposes of FATCA². Please see the relevant Tax Regulations for the classification definitions that apply to Financial Institutions.

6 Partner Jurisdiction Financial Institution

A Partner Jurisdiction Financial Institution includes (a) any Financial Institution resident in the UK, but excluding any branches of such Financial Institution that are located outside the UK and (b) any UK branch of a Financial Institution not resident in the UK. For these purposes, "Partner Jurisdiction" means any jurisdiction that has in effect an agreement with the US to facilitate the implementation of FATCA.

7 Non-IGA jurisdiction

A non-IGA jurisdiction is one where there is no Model 1 or 2 Intergovernmental Agreement in place with the US in respect of FATCA².

8 Non-Participating Foreign Financial Institution (NPFFI)

The term "Non-participating Foreign Financial Institution" means a non-participating FFI, as that term is defined in relevant U.S. Treasury Regulations, but does not include a United Kingdom Financial Institution or other Partner Jurisdiction Financial Institution other than a Financial Institution identified as a Non-participating Financial Institution pursuant to a determination by IRS or HMRC that there is significant non-compliance with FATCA obligations.

9 US Territory

This term means American Samoa, the Commonwealth of the Northern Mariana Islands, Guam, the Commonwealth of Puerto Rico or the US Virgin Islands.

16. Explanatory notes (continued)

10 Exempt Beneficial Owner

The term “Exempt Beneficial Owner” means

- (a) a UK Governmental Organisation;
- (b) an International Organisation (examples of which include The International Monetary Fund, The World Bank, The International Bank for Reconstruction and Development and The European Community – for a full list please see the relevant guidance issued by HMRC, or the IRS);
- (c) a Central Bank; or
- (d) a UK registered pension scheme, or non-UK pension scheme falling within the definition of Exempt Beneficial Owner for the purpose of FATCA.

11 Deemed Compliant Foreign Financial Institution

- (a) The term “Deemed Compliant Foreign Financial Institution” means
- (b) Those entities classified as such in Annex II of the UK IGA, which includes Non-profit Organisations³ and Financial Institutions⁵ with a Local Client Base, or
- (c) Entities which otherwise qualify as such under the FATCA Regulations.

12 Active Non-Financial Foreign Entity (NFFE)

An Active NFFE is any Non-Financial Foreign Entity¹⁴ that meets one of the following criteria:

- (a) Less than 50 percent of the NFFE’s gross income for the preceding calendar year or other appropriate reporting period is passive income and less than 50 percent of the assets held by the NFFE during the preceding calendar year or other appropriate reporting period are assets that produce or are held for the production of passive income;
- (b) The stock of the NFFE is regularly traded on an established securities market or the NFFE is a Related Entity¹⁵ of an Entity the stock of which is traded on an established securities market;
- (c) The NFFE is organized in a U.S. Territory and all of the owners of the payee are bona fide residents of that U.S. Territory;
- (d) The NFFE is a non-U.S. government, a government of a U.S. Territory, an international organization, a non-U.S. central bank of issue, or an Entity wholly owned by one or more of the foregoing;
- (e) Substantially all of the activities of the NFFE consist of holding (in whole or in part) the outstanding stock of, and providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an NFFE shall not qualify for this status if the NFFE functions (or holds itself out) as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes. In these circumstances, the Entity will be a Passive NFFE¹³;
- (f) The NFFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution; provided, that the NFFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFFE;

16. Explanatory notes (continued)

- (g) The NFFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;
- (h) The NFFE primarily engages in financing and hedging transactions with or for Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity¹⁵ provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;
- (i) The Entity is a Non-Profit Organisation³; or
- (j) The NFFE is an “Excepted NFFE” as described in relevant U.S. Treasury Regulations.

13 Passive Non-Financial Foreign Entity (PNFFE)

A Passive NFFE is any Non-Financial Foreign Entity¹⁴ that is not an Active NFFE¹².

14 Non-Financial Foreign Entity (NFFE)

The term “NFFE” means any non-US Entity that is not treated as a Financial Institution⁵.

15 Related Entity

An entity is a Related Entity of another entity if either entity controls the other entity, or the two entities are under common control. For this purpose control includes direct or indirect ownership of more than 50 per cent of the vote or value in an entity.

16 Specified US Person

An entity is a Related Entity of another entity if either entity controls the other entity, or the two entities are under common control. For this purpose control includes direct or indirect ownership of more than 50 per cent of the vote or value in an entity. The term “Specified U.S. Person” means a U.S. Person, other than:

- (a) a corporation the stock of which is regularly traded on one or more established securities markets;
- (b) any corporation that is a member of the same expanded affiliated group, as defined in section 1471(e)(2) of the U.S. Internal Revenue Code, as a corporation described in clause (i);
- (c) the United States or any wholly owned agency or instrumentality thereof;
- (d) any State of the United States, any U.S. Territory, any political subdivision of any of the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing;
- (e) any organization exempt from taxation under section 501(a) or an individual retirement plan as defined in section 7701(a)(37) of the U.S. Internal Revenue Code;
- (f) any bank as defined in section 581 of the U.S. Internal Revenue Code;
- (g) any real estate investment trust as defined in section 856 of the U.S. Internal Revenue Code;
- (h) any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code or any entity registered with the Securities Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. 80a-64);
- (i) any common trust fund as defined in section 584(a) of the U.S. Internal Revenue Code;

16. Explanatory notes (continued)

- (j) any trust that is exempt from tax under section 664(c) of the U.S. Internal Revenue Code or that is described in section 4947(a)(1) of the U.S. Internal Revenue Code;
- (k) a dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any State; or
- (l) a broker as defined in section 6045(c) of the U.S. Internal Revenue Code.

Classifications under CRS

17 Financial Institution

The term “Financial Institution” means a Custodial Institution, a Depository Institution, an Investment Entity²³, or a Specified Insurance Company.

18 Non-Reporting Financial Institution

The term “Non-Reporting Financial Institution” means any Financial Institution which is:

- (a) a Governmental Entity, International Organisation or Central Bank, other than with respect to a payment that is derived from an obligation held in connection with a commercial financial activity of a type engaged in by a Specified Insurance Company, Custodial Institution, or Depository Institution;
- (b) a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; a Pension Fund of a Governmental Entity, International Organisation or Central Bank; or a Qualified Credit Card Issuer;
- (c) any other Entity that presents a low risk of being used to evade tax, has substantially similar characteristics to any of the Entities described in (a) or (b) above, and is included in the list of Non-Reporting Financial Institutions provided to the European Commission by the UK;
- (d) an Exempt Collective Investment Vehicle; or
- (e) a trust to the extent that the trustee of the trust is a Reporting Financial Institution and reports all information required to be reported pursuant to Section I with respect to all Reportable Accounts of the trust.

19 Related Entity

An Entity is a “Related Entity” of another Entity if (i) either Entity controls the other Entity; or (ii) the two Entities are under common control.

20 Active Non-Financial Entity (NFE)

The term “Active NFE” means any NFE²² that meets any of the following criteria:

- (a) less than 50% of the NFE’s gross income for the preceding calendar year or other appropriate reporting period is passive income and less than 50 % of the assets held by the NFE during the preceding calendar year or other appropriate reporting period are assets that produce or are held for the production of passive income;
- (b) the stock of the NFE is regularly traded on an established securities market or the NFE is a Related Entity¹⁹ of an Entity the stock of which is regularly traded on an established securities market;
- (c) the NFE is a Governmental Entity, an International Organisation, a Central Bank, or an Entity wholly owned by one or more of the foregoing;

16. Explanatory notes (continued)

- (d) substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an Entity does not qualify for this status if the Entity functions (or holds itself out) as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes. In these circumstances, the Entity will be a Passive NFE²¹;
- (e) the NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE does not qualify for this exception after the date that is 24 months after the date of the initial organisation of the NFE;
- (f) the NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganising with the intent to continue or recommence operations in a business other than that of a Financial Institution;
- (g) the NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution, or
- (h) the Entity is a non-profit organisation³.

21 Passive Non-Financial Entity (PNFE)

A Passive NFE is any Non-Financial Entity²² that is not an Active NFE, or an Investment Entity²³ that is not a Participating Jurisdiction²⁴ Financial Institution.

22 Non-Financial Entity (NFE)

The term “NFE” means any Entity that is not a Financial Institution¹⁷.

23 Investment Entity

The term “Investment Entity” means any Entity:

- (a) which primarily conducts as a business one or more of the following activities or operations for or on behalf of a customer
 - (i) trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading;
 - (ii) individual and collective portfolio management; or
 - (iii) otherwise investing, administering, or managing Financial Assets or money on behalf of other persons; or
- (b) the gross income of which is primarily attributable to investing, reinvesting, or trading in Financial Assets, if the Entity is managed by another Entity that is a Depository Institution, a Custodial Institution, a Specified Insurance Company, or an Investment Entity described in subparagraph A(6)(a) of the EU Directive on Administrative Co-operation 2014/107/EU.

16. Explanatory notes (continued)

An Entity is treated as primarily conducting as a business one or more of the activities described in subparagraph A(6)(a), or an Entity's gross income is primarily attributable to investing, reinvesting, or trading in Financial Assets for the purposes of subparagraph A(6)(b), if the Entity's gross income attributable to the relevant activities equals or exceeds 50% of the Entity's gross income during the shorter of:

- (a) the period ending on 31 December of the year preceding the year in which the determination is made; or
- (b) the period during which the Entity has been in existence.

The term "Investment Entity" does not include an Entity that is an Active NFE because that Entity meets any of the criteria in subparagraphs D(8)(d) through (g) of the EU Directive on Administrative Co-operation 2014/107/EU.

This paragraph shall be interpreted in a manner consistent with similar language set forth in the definition of "financial institution" in the Financial Action Task Force Recommendations.

24 Participating Jurisdiction

The term "Participating Jurisdiction" means a jurisdiction which has an agreement in place to exchange information in accordance with the OECD Common Reporting Standard.

17. Individual Self-Certification Form

Only complete this form if you confirmed in section 11 that your organisation is a Passive Non-Financial Foreign Entity or a Passive Non-Financial Entity. Please complete this form for each controlling person. If necessary, please either copy this page or provide their details on a separate page.

Section 1: Tax Residency

Tax regulations¹ require us to collect information about each investor's tax residency². In certain circumstances (including if we do not receive a valid self-certification from you) we will be obliged to share information about your account(s) with HMRC who may in turn share this information with any or all participating tax jurisdictions³. The information provided by you in this form will be used by us to report to HMRC regarding your account(s), where required by tax regulations.

Please indicate all countries in which you are resident for tax purposes and your associated Tax Identification Number(s) in the table below. If you are also a US citizen you must include United States in this table along with your US Tax Identification Number. If you have any questions about your tax residency², please contact your tax adviser.

Country/Countries of tax residency	Tax identification number

Section 2: Declaration

I declare that the information provided on this form is, to the best of my knowledge and belief, accurate and complete.

I agree to notify Aviva Investors UK Fund Services Limited immediately if any of this information changes in the future.

Full Name	<input type="text"/>										
Permanent residence address	<input type="text"/>										
	<input type="text"/>										
Date of Birth	<input type="text" value="D"/>	<input type="text" value="D"/>	<input type="text" value="M"/>	<input type="text" value="M"/>	<input type="text" value="C"/>	<input type="text" value="C"/>	<input type="text" value="Y"/>	<input type="text" value="Y"/>	Telephone Number	<input type="text"/>	
Signed	<input type="text"/>								Signature Date	<input type="text" value=" /"/>	

1. The term "tax regulations" refers to regulations created to enable automatic exchange of information and include Foreign Account Tax Compliance Act (FATCA), and the OECD Common Reporting Standard (CRS) for Automatic Exchange of Financial Account Information².
2. In general, you are tax resident where you are liable to taxes, based on where you live and work permanently although different jurisdictions have different rules in relation to tax residency. If in doubt, please contact your tax adviser.
3. Those countries that have agreed to exchange information under FATCA and the CRS².



Please fill in the whole form excluding official use box using a ball point pen and send it to:

Aviva Investors UK Fund Services Limited
PO Box 10410
Chelmsford
CM99 2AY

Name(s) of account holder(s)

Bank/building society account number

--	--	--	--	--	--	--	--

Branch sort code

--	--	--	--	--	--

Name and full postal address of your bank or building society

To: The Manager	Bank/building society
Address	
Postcode	

Reference

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Instruction to your bank or building society to pay by Direct Debit

Service User Number

7	2	4	1	6	8
---	---	---	---	---	---

FOR Aviva Investors UK Fund Services Limited OFFICIAL USE ONLY

This is not part of the instruction to your bank or building society.

Instruction to your bank or building society

Please pay Aviva Investors UK Fund Services Limited Direct Debits from the account detailed in this Instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this Instruction may remain with Aviva Investors UK Fund Services Limited and, if so, details will be passed electronically to my bank/building society.

Signature(s)

--

Date

--

Banks and building societies may not accept Direct Debit Instructions for some types of account

This guarantee should be detached and retained by the payer.



The Direct Debit Guarantee

- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits.
- If there are any changes to the amount, date or frequency of your Direct Debit Aviva Investors UK Fund Services Limited will notify you 5 working days in advance of your account being debited or as otherwise agreed. If you request Aviva Investors UK Fund Services Limited to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by Aviva Investors UK Fund Services Limited or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society.
 - If you receive a refund you are not entitled to, you must pay it back when Aviva Investors UK Fund Services Limited asks you to.
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.

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543359 - 30/11/2024



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