

# Investment Funds Application Form



## For Individuals

### 1. Summary

Please use this form to invest in the Aviva Investors range of funds. This form cannot be used for the Aviva Investors Investment ISA. Please see our website, or contact us, for ISA forms.

Please answer all questions in CAPITAL LETTERS and tick boxes where appropriate.

Once you have completed this application form, please return it together with your payment and/or completed Direct Debit Instruction to Aviva Investors UK Fund Services Limited, PO Box 10410, Chelmsford, CM99 2AY.

If you are investing a lump sum please make your cheque payable to Aviva Investors UK Fund Services Limited.

If you wish to pay with a Bank/Building Society cheque or Banker's Draft, the Society or Bank must endorse the cheque with the full name of the person from whose account the funds are drawn. For example, the cheque should be made payable as follows: Aviva Investors UK Fund Services Limited i.r.o. John Smith (include investor's full name).

Please note there are other funds available from Aviva Investors. Please contact us for details about these funds.

Tax regulations require us to collect certain information about each investor's tax residency and tax classifications. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information about your account(s) with the relevant tax authorities. If you are tax resident in a territory other than the UK then we will report the following information to His Majesty's Revenue & Customs (HMRC) and they may transfer that information to the government of that other territory in accordance with the relevant agreement:

- name, address, jurisdiction of tax residence, Tax Identification Number and date of birth.
- account number and that your account is with Aviva Investors.
- the balance or value of your account at the end of the year or at the date it was closed.
- the gross amount of interest, dividends, proceeds from sale or redemption or other amounts paid or credited to any of you or your account during the year.

In accordance with those agreements, UK Government will also begin to receive information from Governments of other territories about non-UK accounts held by UK tax residents. The information provided by you in this form will be used by us to report to HMRC regarding your account(s) where so required by Tax Regulations. If you are uncertain of your tax residency please refer to your legal or tax adviser.

A copy of this completed application form is available on request.

# 1. Personal Details

**Note:** While all the named holders will have equal ownership of the investment, we will only issue correspondence to the first named holder.

Title	<input type="text" value="Mr / Mrs / Miss / Ms"/>
Forename(s)	<input type="text"/>
Surname	<input type="text"/>
Address	<input type="text"/>
	<input type="text" value="Postcode"/>
Date of birth	<div><div>D</div><div>D</div><div>M</div><div>M</div><div>C</div><div>C</div><div>Y</div><div>Y</div></div>
Daytime telephone number	<input type="text"/>
Email address	<input type="text"/>
Country of tax residency	<input type="text"/>
National Insurance number/ Tax reference number	<input type="text"/>

If you are resident for tax purposes in more than one country, please provide details of each such country and your associated Tax Identification Number(s) on a separate page. If you are a US citizen you must also include United States along with your US Tax Identification Number.

Title	<input type="text" value="Mr / Mrs / Miss / Ms"/>
Forename(s)	<input type="text"/>
Surname	<input type="text"/>
Address	<input type="text"/>
	<input type="text" value="Postcode"/>
Date of birth	<div><div>D</div><div>D</div><div>M</div><div>M</div><div>C</div><div>C</div><div>Y</div><div>Y</div></div>
Daytime telephone number	<input type="text"/>
Email address	<input type="text"/>
Country of tax residency	<input type="text"/>
National Insurance number/ Tax reference number	<input type="text"/>

If you are resident for tax purposes in more than one country, please provide details of each such country and your associated Tax Identification Number(s) on a separate page. If you are a US citizen you must also include United States along with your US Tax Identification Number.

1. Personal Details (continued)

Title	Mr / Mrs / Miss / Ms									
Forename(s)										
Surname										
Address										
	Postcode									
Date of birth	D	D	M	M	C	C	Y	Y		
Daytime telephone number										
Email address										
Country of tax residency										
National Insurance number/ Tax reference number										

If you are resident for tax purposes in more than one country, please provide details of each such country and your associated Tax Identification Number(s) on a separate page. If you are a US citizen you must also include United States along with your US Tax Identification Number.

Title	Mr / Mrs / Miss / Ms									
Forename(s)										
Surname										
Address										
	Postcode									
Date of birth	D	D	M	M	C	C	Y	Y		
Daytime telephone number										
Email address										
Country of tax residency										
National Insurance number/ Tax reference number										

If you are resident for tax purposes in more than one country, please provide details of each such country and your associated Tax Identification Number(s) on a separate page. If you are a US citizen you must also include United States along with your US Tax Identification Number.

## 2. Designation details

You can indicate a designation for the investment, for example, accounts for minors under 18 years of age must be registered in the name of an adult and must be designated in favour of a minor designation (e.g. minor's initials) (maximum of 35 characters).

**Designation**

Indicating a designation will not affect the way the investment is registered, it will be registered solely in the name of the applicant(s) named in section 1 above.

## 3. Trust name

If any applicant named in section 1 above is acting as trustee of a trust, please provide the details below.

**Full name of trust**

**Address**

**Nature and purpose of trust**

**Country of establishment**

If you need to provide details in respect of more than one trust, please do so in the space provided below.

Please provide details of all settlors (even if deceased), any further trustees or others (including protectors) that have control over the management of the trust or arrangement, and any named individuals or classes that have a potential entitlement of 25% or more of the property of the trust assets (even where discretion exists) in section 4.

Please provide an extract from the trust deed to confirm the information in sections 3 & 4.

Indicating a trust or providing trust deeds will not affect the way the investment is registered. It will be registered solely in the name of the applicant(s) named in section 1 above.

## 4. Beneficial/Non beneficial owner's details

### Investing on behalf of someone else

A beneficial owner is normally an individual who ultimately owns or controls the applicant or on whose behalf the investment is being conducted.

By investing through this application form you will own the beneficial title in all investments and we will be entitled to treat you as the beneficial owner in all of our dealings with you. If this is not correct, please fill in the beneficial owner details below.

For applicants acting as trustee(s) (or similar), please provide details of all settlors (even if deceased), any further trustees or others (including protectors) that have any control over the management of the trust or arrangement, and any named individuals or classes that have a potential entitlement to 25% or more of the property of the trust assets (even where discretion exists).

## 4. Beneficial/Non beneficial owner's details (continued)

I/we confirm that I/we are the only beneficial owner(s) of this investment?

YES

☐

If no please provide the following information on behalf of the beneficial owner(s):

NO

☐

<b>Title</b>	Mr / Mrs / Miss / Ms								
<b>Forename(s)</b>									
<b>Surname</b>									
<b>Permanent residential address</b>									
	Postcode								
<b>Date of birth</b>	<table><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>C</td><td>C</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	C	C	Y	Y
D	D	M	M	C	C	Y	Y		
<b>Capacity*</b>									
<b>Country of tax residency</b>									
<b>National Insurance number/ Tax reference number</b>									

If you are resident for tax purposes in more than one country, please provide details of each such country and your associated Tax Identification Number(s) on a separate page. If you are a US citizen you must also include United States along with your US Tax Identification Number.

\*e.g.trustee, settlor, beneficiary, etc

<b>Title</b>	Mr / Mrs / Miss / Ms								
<b>Forename(s)</b>									
<b>Surname</b>									
<b>Permanent residential address</b>									
	Postcode								
<b>Date of birth</b>	<table><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>C</td><td>C</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	C	C	Y	Y
D	D	M	M	C	C	Y	Y		
<b>Capacity*</b>									
<b>Country of tax residency</b>									
<b>National Insurance number/ Tax reference number</b>									

If you are resident for tax purposes in more than one country, please provide details of each such country and your associated Tax Identification Number(s) on a separate page. If you are a US citizen you must also include United States along with your US Tax Identification Number.

\*e.g.trustee, settlor, beneficiary, etc

## 4. Beneficial/Non beneficial owner's details (continued)

For applicants acting as trustee(s) (or similar), please provide details of those who exercise control over the trust who are not beneficial owners

### Non beneficial owners details

<b>Title</b>	<input type="text" value="Mr / Mrs / Miss / Ms"/>
<b>Forename(s)</b>	<input type="text"/>
<b>Surname</b>	<input type="text"/>
<b>Permanent residential address</b>	<input type="text"/>
	<input type="text" value="Postcode"/>
<b>Date of birth</b>	<input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="C"/> <input type="text" value="C"/> <input type="text" value="Y"/> <input type="text" value="Y"/>
<b>Capacity*</b>	<input type="text"/>
<b>Country of tax residency</b>	<input type="text"/>
<b>National Insurance number/ Tax reference number</b>	<input type="text"/>

If you are resident for tax purposes in more than one country, please provide details of each such country and your associated Tax Identification Number(s) on a separate page. If you are a US citizen you must also include United States along with your US Tax Identification Number.

\*e.g.trustee, settlor, beneficiary, etc

<b>Title</b>	<input type="text" value="Mr / Mrs / Miss / Ms"/>
<b>Forename(s)</b>	<input type="text"/>
<b>Surname</b>	<input type="text"/>
<b>Permanent residential address</b>	<input type="text"/>
	<input type="text" value="Postcode"/>
<b>Date of birth</b>	<input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="C"/> <input type="text" value="C"/> <input type="text" value="Y"/> <input type="text" value="Y"/>
<b>Capacity*</b>	<input type="text"/>
<b>Country of tax residency</b>	<input type="text"/>
<b>National Insurance number/ Tax reference number</b>	<input type="text"/>

If you are resident for tax purposes in more than one country, please provide details of each such country and your associated Tax Identification Number(s) on a separate page. If you are a US citizen you must also include United States along with your US Tax Identification Number.

\*e.g.trustee, settlor, beneficiary, etc

To add further beneficial owners or controllers, please either copy this page or provide their details on a separate page.

**Please note indicating a beneficial owner will not affect the way the investment is registered, it will be registered solely in the name of the applicant(s) named in section 1 above.**

## 5. Investment details

### Investment funds within the Aviva Investors ICVCs.

Please indicate the amounts you wish to invest in each fund.

We will purchase Class 1 shares or units, except for the Global Equity Endurance Fund where we will purchase shares in Class 6, unless you indicate otherwise.

	Single investments (min £1,000 per fund)	Tick if income is to be paid out	Amount of regular investment per month* (min £50)
<b>Income Funds</b>			
Corporate Bond	£ <input type="text"/>	<input type="checkbox"/>	£ <input type="text"/>
Higher Income Plus	£ <input type="text"/>	<input type="checkbox"/>	£ <input type="text"/>
Managed High Income	£ <input type="text"/>	<input type="checkbox"/>	£ <input type="text"/>
Monthly Income Plus	£ <input type="text"/>	<input type="checkbox"/>	£ <input type="text"/>
<b>Growth Funds</b>			
Climate Transition Global Equity Fund	£ <input type="text"/>	N/A	£ <input type="text"/>
Continental European Equity	£ <input type="text"/>	N/A	£ <input type="text"/>
Global Equity Endurance	£ <input type="text"/>	N/A	£ <input type="text"/>
International Index Tracking	£ <input type="text"/>	N/A	£ <input type="text"/>
UK Listed Small and Mid-Cap Fund	£ <input type="text"/>	N/A	£ <input type="text"/>
UK Smaller Companies	£ <input type="text"/>	N/A	£ <input type="text"/>
<b>Income &amp; Growth Funds</b>			
Distribution	£ <input type="text"/>	<input type="checkbox"/>	£ <input type="text"/>
Global Equity Income	£ <input type="text"/>	<input type="checkbox"/>	£ <input type="text"/>
Sustainable Stewardship Fixed Interest Feeder	£ <input type="text"/>	<input type="checkbox"/>	£ <input type="text"/>
Sustainable Stewardship International Equity Feeder	£ <input type="text"/>	<input type="checkbox"/>	£ <input type="text"/>
Sustainable Stewardship UK Equity Feeder	£ <input type="text"/>	<input type="checkbox"/>	£ <input type="text"/>
Sustainable Stewardship UK Equity Income Feeder	£ <input type="text"/>	<input type="checkbox"/>	£ <input type="text"/>
Strategic Bond	£ <input type="text"/>	<input type="checkbox"/>	£ <input type="text"/>
UK Listed Equity Income	£ <input type="text"/>	<input type="checkbox"/>	£ <input type="text"/>
UK Index Tracking	£ <input type="text"/>	<input type="checkbox"/>	£ <input type="text"/>
UK Listed Equity Unconstrained	£ <input type="text"/>	<input type="checkbox"/>	£ <input type="text"/>
US Equity Income Fund II	£ <input type="text"/>	<input type="checkbox"/>	£ <input type="text"/>

## 5. Investment details (continued)

	Single investments (min £1,000 per fund)	Tick if income is to be paid out	Amount of regular investment per month* (min £50)
<b>Multi-asset Funds</b>			
Multi-asset Plus Fund I	£ <input type="text"/>	N/A	£ <input type="text"/>
Multi-asset Plus Fund II	£ <input type="text"/>	N/A	£ <input type="text"/>
Multi-asset Plus Fund III	£ <input type="text"/>	N/A	£ <input type="text"/>
Multi-asset Plus Fund IV	£ <input type="text"/>	N/A	£ <input type="text"/>
Multi-asset Plus Fund V	£ <input type="text"/>	N/A	£ <input type="text"/>
Multi-asset Core Fund I	£ <input type="text"/>	N/A	£ <input type="text"/>
Multi-asset Core Fund II	£ <input type="text"/>	N/A	£ <input type="text"/>
Multi-asset Core Fund III	£ <input type="text"/>	N/A	£ <input type="text"/>
Multi-asset Core Fund IV	£ <input type="text"/>	N/A	£ <input type="text"/>
Multi-asset Core Fund V	£ <input type="text"/>	N/A	£ <input type="text"/>
<b>Multi-Manager Funds</b>			
Multi-Manager 20-60% Shares	£ <input type="text"/>	<input type="checkbox"/>	£ <input type="text"/>
Multi-Manager 40-85% Shares	£ <input type="text"/>	<input type="checkbox"/>	£ <input type="text"/>
Multi-Manager Flexible	£ <input type="text"/>	N/A	£ <input type="text"/>
<b>Multi-Strategy Fund</b>			
Multi-Strategy Target Return	£ <input type="text"/>	N/A	£ <input type="text"/>

\* Regular investments must be made on a monthly basis by direct debit. The completed application and Direct Debit instruction must be received 14 working days prior to the collection date which will be on or around the 6th day of each month.

**Note:** Certain funds enable you to receive income should you wish to do so. These funds offer income shares or units. If you wish to have your income reinvested, the income will be used to buy further shares or units in the relevant fund. For the other funds, accumulation shares or units are issued which results in income being rolled up into the capital value of your holding. Unless you have indicated otherwise, all income will be automatically reinvested. For all investments where no bank or building society details are provided, income is automatically reinvested or accumulated as appropriate. It is not possible to take income while making regular investments.

For investments of £25,000 or more, we reserve the right to deal only following the receipt of cleared funds.



## 6. Bank/building society details

Please provide bank/building society details below to be used for future withdrawals (including regular capital withdrawals for the Multi-asset Funds where applicable).

Full name and address of UK bank/building society	<input type="text"/>											
	<input type="text"/>											
	Postcode <input type="text"/>											
Name of account holder	<input type="text"/>											
Account number	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	Sort code	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Building Society Roll no. (if applicable)	<input type="text"/>											

If you would like us to pay your income distribution payments to the same bank account, please tick this box. ☐

If you wish to use different account details for your income payments, please tick this box and provide a covering letter with these details. ☐

If you wish for income payments to be reinvested, please tick this box. ☐

Please note that income is only paid from those funds indicated by a tick box in section 5. Where selected, income will be paid by direct credit to your UK bank or building society account and will be paid from outset. For all investments where no bank or building society details are provided, or if no option is selected above, income will be automatically reinvested or accumulated as appropriate. It is not possible to take income while making regular investments. If you wish to take income at a later date, please contact us at the time.

## 7. Regular capital withdrawals (Multi-asset Funds only)

This facility is only available for customers who have an investment in the Multi-asset Funds of £10,000 (net of initial charges) or above when a regular capital withdrawal is made. This facility is not available to customers who are paying regular investments. The regular capital withdrawal will be applied across the Multi-asset Funds indicated in section 5. For more information on the terms of capital withdrawals, please read the Supplementary Information Document.

I wish for my regular capital withdrawal to be taken (please tick one box) and wish to receive my first regular capital withdrawal payment on the month specified below:

Monthly ☐ Quarterly ☐ Half-Yearly ☐ Once a year ☐

Month  Year

Shares must be held for one year before proceeds are payable, so this date must be at least one year from the date of the first investment into the Fund. If left blank your first withdrawal will take place at the next available payment date.

I wish to withdraw the following amount, which will be paid in installments as indicated above. Please indicate a percentage (of investment value) or £ amount and complete section 6 to indicate where these payments will be made.

% or £

## 8. Privacy Notice

### Personal Information

We collect and use personal information about you so that we can provide you with your investment. This notice explains the most important aspects of how we use your information but you can get more information about the terms we use and view our full privacy policy at [www.avivainvestors.com](https://www.avivainvestors.com) or request a copy by writing to us at the address stated in the “Contacting us” section below.

The data controller(s) responsible for this personal information is Aviva Investors UK Fund Services Limited.

### Personal information we collect and how we use it

We will use your personal information:

- To provide you with your investment: we need this to set up and administer your investment,
- To support legitimate interests that we have as a business: we need this to detect and prevent fraud and to help us better understand our customers and improve our customer engagement (this includes marketing),
- To meet any applicable legal or regulatory obligations: we need this to meet compliance requirements with our regulators (e.g. Financial Conduct Authority), to comply with law enforcement and to manage legal claims; and
- To carry out other activities that are in the public interest: for example we may need to use personal information to carry out anti-money laundering checks.

We may also use personal information about other people. This may include individuals who are authorised to act on your behalf, for example, an attorney or (in the case of a corporate investor) individuals who work for or otherwise represent you. **If you are providing information about another person we expect you to ensure that they know you are doing so and are content with their information being provided to us. You might find it helpful to show them this privacy notice and if they have any concerns please contact us in one of the ways described below.**

The personal information we collect and use will include name, address, date of birth and Contact and investment details.

Of course, you don't have to provide us with any personal information, but if you don't provide the information we may not be able to proceed with setting up your investment.

Some of the information we collect as part of this application will be provided to us by third parties and this can include information already held about you within the Aviva Group, information we obtain from publicly available records, our trusted third parties and from industry databases, including fraud prevention agencies and databases.

We do not currently make any decisions based on automatic decision making using your personal information to decide whether we can provide an investment. If in the future we need to make decisions based on automatic decision making, we will inform you about this.

## 8. Privacy Notice (continued)

### How we share your personal information with others

We may share your personal information:

- With the Aviva Group, our agents and third parties who provide services to us to help us administer our products and services;
- With regulatory bodies and law enforcement bodies, including the police, e.g. if we are required to do so to comply with a relevant legal or regulatory obligation;
- With other organisations including public bodies and the police (either directly or using shared databases) for fraud prevention and detection purposes;

Some of the organisations we share information with directly or indirectly may be located outside of the European Economic Area (“EEA”). We’ll always take steps to ensure that any transfer of information outside of Europe is carefully managed to protect your privacy rights. For more information on this please see our privacy policy or contact us.

### How long we keep your personal information for

We maintain a retention policy to ensure we only keep personal information for as long as we reasonably need it for the purposes explained in this notice. We need to keep information for the period necessary to administer your investment and deal with any queries on your investment. We may also need to keep information after our relationship with you has ended, for example to ensure we have an accurate record in the event of any complaints or challenges, carry out relevant fraud checks, or where we are required to do so for legal, regulatory or tax purposes.

### Your rights

You have various rights in relation to your personal information, including the right to request access to your personal information, correct any mistakes on our records, erase or restrict records where they are no longer required, object to use of personal information where this use is based on our legitimate business interests. For more details in relation to your rights, including how to exercise them, please see our full privacy policy or contact us.

### Contacting us

If you have any questions about how we use personal information, or if you want to exercise your rights stated above, please contact our Data Protection Officer by writing to them at The Data Privacy Office, Aviva Investors (Floor 6), St Helen’s, 1 Undershaft, London EC3P 3DQ or email [dpoffice@avivainvestors.com](mailto:dpoffice@avivainvestors.com)

If you have a complaint or concern about how we use your personal information, please contact us in the first instance and we will attempt to resolve the issue as soon as possible. You also have the right to lodge a complaint with the Information Commissioner’s Office at any time.

### Marketing – How we keep you informed

The Aviva Group and its agents may use personal information we hold about you across the Aviva Group to help us identify and tailor products and services that may be of interest to you and would like to contact you from time to time to provide you with updates and offers for Aviva’s products and services tailored to you by direct marketing by post, phone, email or text. We may continue to do this after your investment has ended.

To tell us if you would prefer not to hear about Aviva products and services please contact us at Aviva, Freepost, Mailing Exclusion Team, Unit 5, Wanlip Road Ind Est, Syston, Leicester, LE7 1PD.

## 9. Prevention of money laundering

In accordance with EU and UK legislation relating to the prevention of money laundering we are obliged to verify the identity and address of all parties to this contract (e.g. planholder, third parties including beneficial owners). In the case of legal arrangements we are also required to establish the identity of any controllers that are not named parties as well as individuals who have a specified beneficial interest in the contract.

Where a financial adviser or Aviva Investors Representative is involved they will let you know what evidence you need to produce. If you are applying to us direct we will verify your identity with a third party identity verification company.

In certain circumstances you may be required to provide further evidence of your identity and confirmation of address, in which case Aviva Investors will contact you. If the product you are applying for allows payment by cheque and you wish to pay with a Bank/Building Society cheque or Banker's Draft, the Society or Bank must endorse the cheque with the full name of the person from whose account the funds are drawn.

## 10. Delivery versus payment (DVP) exemption

We may make use of the Delivery versus Payment (DVP) exemption for Collective Investment Schemes as defined in the Financial Conduct Authority's Client Assets Sourcebook. This means your uninvested money may not be protected if Aviva Investors UK Fund Services Limited becomes insolvent during the period covered by the exemption.

The DVP exemption will be used in the following circumstances:

- For investments and withdrawals when you pay money to us, or we pay proceeds to you, by electronic bank transfer or via a commercial settlement system.

When the DVP exemption is used your money will not be protected as client money during the following periods:

- For investments and withdrawals, made by electronic bank transfer from the day we receive your money (for investments), or the day we are due to pay the proceeds to you (for withdrawals), up to the close of the next business day.
- For investments and withdrawals, made via a commercial settlement system, from the day we receive your money (for investments), or the day we are due to pay the proceeds to you (for withdrawals), up to the close of business three business days later.

## 11. Declaration

**You must complete this section.**

**The Key Investor Information Document(s) and Supplementary Information Document for the Aviva Investors Funds are important and you should take time to read them.**

- I am/we are 18 years of age or over.
- I/we have read the latest relevant Key Investor Information Document(s) and Supplementary Information Document and are aware that I/we should retain a copy of these for my/our future reference.
- I/we confirm that no financial advice has been received in respect of this investment other than from the financial adviser, if any, named on this application below unless otherwise indicated.
- I/we understand that you may undertake a search with a third party company who provide identity verification services for the purposes of verifying my/our identity and the details I/we have submitted as part of this application. To do so the third party company may check the details I/we supply against any particulars on any database (public or otherwise) to which they have access. They may also use my/our details in future to assist other companies for verification purposes. A record of the search will be retained.
- I/we agree to notify Aviva Investors UK Fund Services Limited immediately in the event the tax residency information changes.
- I/we declare that the information given in this Application Form is correct to the best of my/our knowledge and belief.
- All beneficial owners and other associated parties are known to me/us and I/we undertake to make those named above aware that they may be subjected to the same data protection and anti-money laundering verification provisions as outlined in sections 8 and 9 above and in the Supplementary Information Document.
- I/we consent to Aviva Investors UK Fund Services Limited's use of the Delivery versus Payment (DVP) exemption referred to above and as described in the Prospectus; and understand that in those circumstances money will not be protected from the insolvency of Aviva Investors UK Fund Services Limited during the periods covered by the exemption.

<b>Signature of applicants</b>	<input type="text"/>	<b>Date</b>	<input type="text"/>
<b>Signature of applicants</b>	<input type="text"/>	<b>Date</b>	<input type="text"/>
<b>Signature of applicants</b>	<input type="text"/>	<b>Date</b>	<input type="text"/>
<b>Signature of applicants</b>	<input type="text"/>	<b>Date</b>	<input type="text"/>

## 12. For Financial Advisers' use only

I/We have seen the Aviva Investors Terms of Business for Firms as in force from time to time, the latest version of which can be found at [www.avivainvestors.com/tob](http://www.avivainvestors.com/tob), and agree that this application constitutes “Business” as defined in and is therefore subject to those terms.

Signature of adviser	<input type="text"/>	Date	<input type="text"/> / <input type="text"/> / <input type="text"/>
Full name and address of agent	<input type="text"/>		
	<input type="text"/>		
	<input type="text"/> Postcode		
Firm reference number	<input type="text"/>		
Agent number	<input type="text"/>		
Please tick this box if no advice has been given <input type="checkbox"/>			



Please fill in the whole form excluding official use box using a ball point pen and send it to:

Aviva Investors UK Fund Services Limited  
PO Box 10410  
Chelmsford  
CM99 2AY

Name(s) of account holder(s)


Bank/building society account number

--	--	--	--	--	--	--	--

Branch sort code

--	--	--	--	--	--

Name and full postal address of your bank or building society

To: The Manager	Bank/building society
Address	
Postcode	

Reference

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

## Instruction to your bank or building society to pay by Direct Debit

Service User Number

7	2	4	1	6	8
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### FOR Aviva Investors UK Fund Services Limited OFFICIAL USE ONLY

This is not part of the instruction to your bank or building society.

### Instruction to your bank or building society

Please pay Aviva Investors UK Fund Services Limited Direct Debits from the account detailed in this Instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this Instruction may remain with Aviva Investors UK Fund Services Limited and, if so, details will be passed electronically to my bank/building society.

Signature(s)

Date

Banks and building societies may not accept Direct Debit Instructions for some types of account

This guarantee should be detached and retained by the payer.



## The Direct Debit Guarantee

- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits.
- If there are any changes to the amount, date or frequency of your Direct Debit Aviva Investors UK Fund Services Limited will notify you 5 working days in advance of your account being debited or as otherwise agreed. If you request Aviva Investors UK Fund Services Limited to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by Aviva Investors UK Fund Services Limited or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society.
  - If you receive a refund you are not entitled to, you must pay it back when Aviva Investors UK Fund Services Limited asks you to.
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.

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