7IM SUSTAINABLE BALANCE FUND

AS AT 30 SEPTEMBER 2021

Investment objective

The fund aims to provide a balance of income and capital growth.

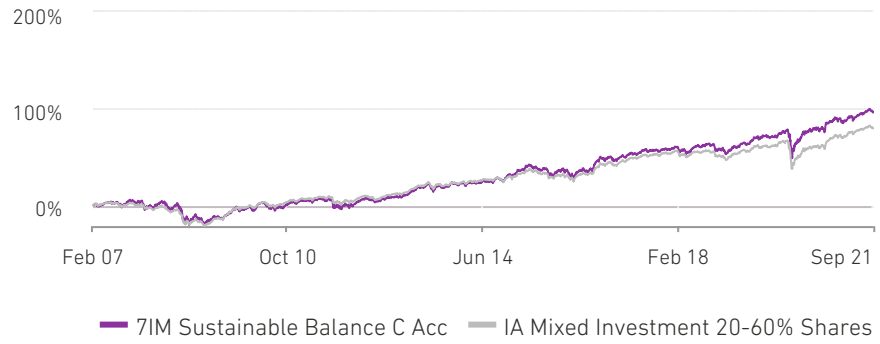
Investment strategy

The starting point for the Manager's investment process is identifying the best mix of asset classes to create a strategic asset allocation (SAA) customised for each 7IM risk profile. The SAA is then adapted to financial markets to enhance return and reduce volatility on a tactical basis using 7IM's tactical asset allocation process (TAA) making temporary and measured departures from the SAA.

Investment process

The fund assesses environmental, social and governance factors in determining what assets to invest in. As part of this, the fund employs a two stage screening process comprising of investment restrictions and ethical conduct screening. The first stage of the screening process ensures companies from certain industries or with significant exposure, more than 10% of revenues, to certain activities (such as alcohol and gambling) are precluded from investment at the outset. The second stage screens the remaining investment universe to identify companies which, although in acceptable industries, nonetheless exhibit unacceptable conduct (such as corruption or poor labour practices). Positive screening is then applied to identify companies which exhibit positive ethical conduct (such as sustainable environmental practices and conscientiousness with regard to human rights). For full details on the fund's investment process please refer to the prospectus.

Fund performance



Key information

Fund manager	7IM – Investment Team
Launch date	02 February 2007
Fund size	£199.48m
Performance comparator benchmark	IA Mixed Investment 20-60% Shares
Initial charge	0.00%
Yield	1.20%
Ex-dividend dates	01 June, 01 December
Distribution dates	31 July, 31 January‡
Authorised corporate director	Seven Investment Management LLP
Base currency	Sterling
Domicile	United Kingdom
Regulatory structure	UK UCITS
Dealing settlement	12:00 GMT
Dealing frequency	Daily
OCF (includes AMC)†	1.23% (incl. 1.00% AMC)
MiFID II classification	Non-complex
Lump sum investment	From £1000
C Accumulation	
ISIN	GB00B1LBFZ86
SEDOL	B1LBFZ8
C Income	
ISIN	GB00B1LBG003
SEDOL	B1LBG00

Rolling performance to 30/09/2021	Last 6m (%)	1y (%)	3ys (%)	5ys (%)	Since Launch (%)
7IM Sustainable Balance C Acc	4.34	10.03	20.13	32.54	96.02
Performance comparator benchmark	4.41	12.21	15.32	25.60	79.43

Discrete performance	Q3 2020 - Q3 2021 (%)	Q3 2019 - Q3 2020 (%)	Q3 2018 - Q3 2019 (%)	Q3 2017 - Q3 2018 (%)	Q3 2016 - Q3 2017 (%)
7IM Sustainable Balance C Acc	10.03	3.45	5.54	4.54	5.53
Performance comparator benchmark	12.21	-1.19	4.01	2.60	6.15

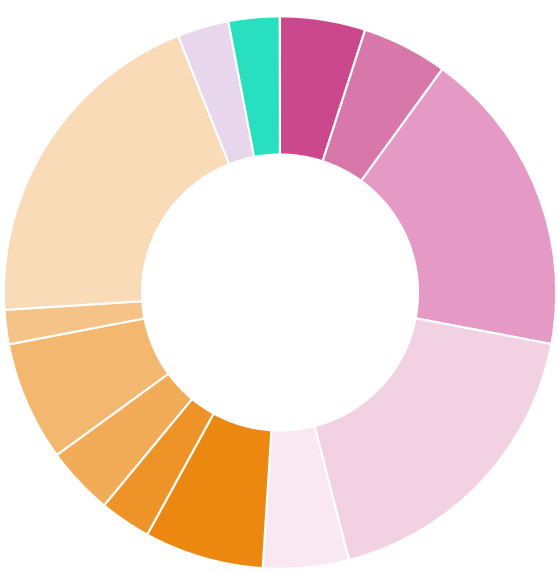
Past performance is not a reliable indicator of future results. 7IM funds are multi-asset and therefore the comparison with the IA Mixed Investment 20-60% Shares is offered as a guide only.
Source: FE fundinfo

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Strategic asset allocation

The chart below shows the long-term Strategic Asset Allocation (SAA) of the fund. The SAA forms the backbone of the fund and is reviewed annually. Percentages are subject to rounding.

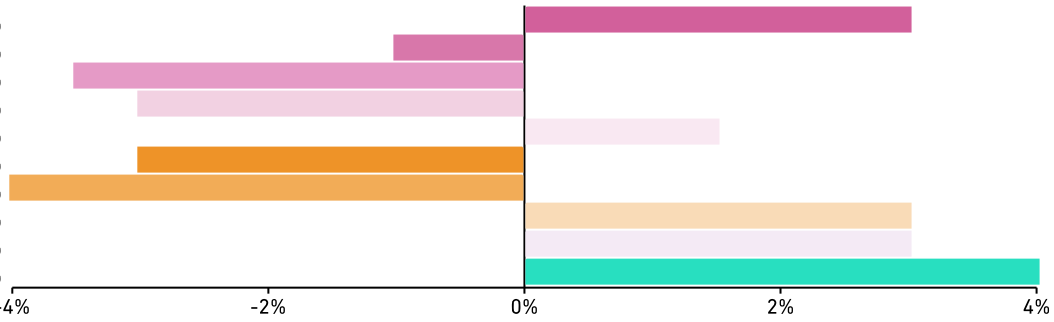


European Equity	5.0%
Japan Equity	5.0%
UK Equity	18.0%
North American Equity	18.0%
Emerging Market Equity	5.0%
Emerging Market Bonds	7.0%
Gilts	3.0%
Global Govt Bond	4.0%
Global High Yield Bonds	7.0%
Global Inflation Linked Bonds	2.0%
Global Corporate Bonds	20.0%
Real Estate	3.0%
Cash & Money Market	3.0%

Tactical asset allocation

The chart below shows the shorter-term asset allocations of the fund in relation to the SAA. Percentages are subject to rounding.

Global Themes	3.0%
Japan Equity	-1.0%
UK Equity	-3.5%
North American Equity	-3.0%
Emerging Market Equity	1.5%
Gilts	-3.0%
Global Govt Bond	-4.0%
Global Corporate Bonds	3.0%
Infrastructure	3.0%
Cash & Money Market	4.0%



Fund ratings*

Defaqto

defaqto 5 RISK RATING LOW TO MEDIUM RISK

Dynamic Planner

DYNAMIC PLANNER 5 PROFILED

FinaMetrica

FinaMetrica Score Range 52-63

Defaqto Rating

defaqto EXPERT RATED

3D Investing Fund Certified

CERTIFIED FUND 3D

FE fundinfo Crown Rating

FE fundinfo Crown Fund Rating

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Top ten holdings	Holding weight (%)
Robeco Global SDG Credits	9.9
Blackrock ESG EM Blend Bond Fund	5.8
Bluebay Financial Capital Bond Fund	5.5
Threadneedle UK Social Bond Fund	5.0
UBS MSCI EM SRI ETF	4.0
iShares USD Corp Bond 0-3yr ESG ETF	3.9
UBS MSCI Japan Socially Responsible ETF	3.1
Ninety One Global Environment Fund	3.0
Blackrock Global Inflation-Linked Bond Fund	2.0
SDCL Energy Efficiency Income Trust	1.5
Total	**43.7**

Source: 7IM

Fund availability

The 7IM funds are available via the majority of the major wraps, platforms and life and pension wrappers. If you would like further information please call us on 020 7760 8777.

Important information

The specific details of the funds including investment policy, charges and the particular risks associated with the funds are explained in the Prospectus and Key Investor Information Documentation (KIIDs) – a link to these is available via the 7IM website (www.7im.co.uk).
‡ Please allow up to 10 working days after the Distribution Date for dividends to be processed and applied to individual client accounts.
† Ongoing Charges Figure includes 7IM Annual Management Charge. Source: KIIDs 10/08/2021.
*7IM's funds are mapped against a selection of third party risk profiling tools to assist advisers as part of suitability assessments for clients. Such tools are however only one aspect of an adviser's suitability process and other such as the clients' investment term / horizon and knowledge and experience should also be considered. The methodology of third party risk profiling tools is not endorsed by 7IM and individual fund risk profiling scores may not correspond precisely to the risk indicators in the 7IM fund literature.

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